

Dec 31, 2018

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

SEAN F. McAVOY, CLERK

KINDOL LAMONTE M.,

Plaintiff,

v.

COMMISSIONER OF SOCIAL
SECURITY,

Defendant.

No. 2:17-CV-00357-SMJ

**ORDER RULING ON CROSS-
MOTIONS FOR SUMMARY
JUDGMENT**

Before the Court, without oral argument, are the parties' cross-motions for summary judgment, ECF Nos. 12 & 14. Plaintiff appeals the Administrative Law Judge's ("ALJ") denial of Disability Insurance Benefits and Supplemental Security Income. ECF No. 3. Plaintiff contends the ALJ erred by (1) improperly rejecting the opinions of Plaintiff's medical sources, (2) improperly assessing Plaintiff's credibility, (3) improperly assessing the severity of Plaintiff's impairments, (4) improperly finding Plaintiff's impairments do not meet or equal the criteria of a listed impairment, (5) asking the vocational expert an incomplete hypothetical question about Plaintiff's functional limitations, and (6) failing to consider Plaintiff's borderline age. The Commissioner of the Social Security Administration

1 (“SSA”) asks the Court to affirm the ALJ’s decision.

2 After reviewing the record and relevant authority, the Court is fully informed.
3 For the reasons set forth below, the Court affirms the ALJ’s decision and therefore
4 denies Plaintiff’s motion and grants the Commissioner’s motion.

5 **I. BACKGROUND¹**

6 On July 1, 2013, Plaintiff protectively filed applications for benefits, alleging
7 a disability onset date of June 1, 2008. AR² 25, 288–98. The SSA denied the claims
8 initially and upon reconsideration, and Plaintiff requested a hearing. AR 155–61,
9 167–70, 173–75. ALJ Jesse K. Shumway presided over a video hearing from
10 Spokane, Washington on February 22, 2016. AR 25, 50. The ALJ issued a decision
11 unfavorable to Plaintiff. AR 25–41. The SSA Appeals Council denied Plaintiff’s
12 request for review. AR 1.

13 **II. ALJ FINDINGS³**

14 At step one, the ALJ found Plaintiff has not engaged in substantial gainful
15 activity since the alleged disability onset date of June 1, 2008. AR 27. At step two,
16

17 ¹ The facts are only briefly summarized. Detailed facts are contained in the
18 administrative hearing transcript, the ALJ’s decision, and the parties’ briefs.

19 ² For ease and consistency with the briefing, the Court cites to the consecutive
20 pagination of the administrative record, which appears at ECF No. 9.

³ The applicable five-step disability determination process is set forth in the ALJ’s
decision, AR 26–27, and the Court presumes the parties are well acquainted with
that standard. Accordingly, the Court does not restate the five-step process in this
Order.

1 the ALJ found Plaintiff has the following severe impairments: congestive heart
2 failure or cardiomyopathy, degenerative disc disease, major depressive disorder,
3 anxiety, and alcohol abuse. AR 28. At step three, the ALJ found Plaintiff's
4 impairments do not meet or medically equal the severity of a listed impairment. AR
5 30. At step four, the ALJ found Plaintiff has the residual functional capacity to
6 perform light work with certain limitations. AR 32. Further, at step four, the ALJ
7 found Plaintiff is unable to perform any past relevant work. AR 39. Finally, at step
8 five, the ALJ found jobs exist in significant numbers in the national economy that
9 Plaintiff can perform considering his age, education, work experience, and residual
10 functional capacity. AR 40. Accordingly, the ALJ determined Plaintiff is not
11 disabled as defined in the Social Security Act. AR 40.

12 **III. STANDARD OF REVIEW**

13 The Court must uphold an ALJ's determination that a claimant is not disabled
14 if the ALJ applied the proper legal standards and there is substantial evidence in the
15 record as a whole to support the decision. *Molina v. Astrue*, 674 F.3d 1104, 1110
16 (9th Cir. 2012) (citing *Stone v. Heckler*, 761 F.2d 530, 531 (9th Cir. 1985)).
17 "Substantial evidence 'means such relevant evidence as a reasonable mind might
18 accept as adequate to support a conclusion.'" *Id.* (quoting *Valentine v. Comm'r Soc.*
19 *Sec. Admin.*, 574 F.3d 685, 690 (9th Cir. 2009)). This must be more than a mere
20 scintilla, but may be less than a preponderance. *Id.* at 1110–11. Even where the

evidence supports more than one rational interpretation, the Court must uphold an ALJ's decision if it is supported by inferences reasonably drawn from the record. *Id.*; *Allen v. Heckler*, 749 F.2d 577, 579 (9th Cir. 1984).

IV. ANALYSIS

A. The ALJ reasonably weighed the opinions of Plaintiff's medical sources.

“To reject [the] uncontradicted opinion of a treating or examining doctor, an ALJ must state clear and convincing reasons that are supported by substantial evidence.” *Revels v. Berryhill*, 874 F.3d 648, 654 (9th Cir. 2017) (alteration in original) (quoting *Ryan v. Comm'r of Soc. Sec.*, 528 F.3d 1194, 1198 (9th Cir. 2008)). “If a treating or examining doctor's opinion is contradicted by another doctor's opinion, an ALJ may only reject it by providing specific and legitimate reasons that are supported by substantial evidence.” *Id.* (quoting *Ryan*, 528 F.3d at 1198). An ALJ must also consider opinions from other medical providers—such as nurse practitioners, chiropractors, and therapists—who are not ‘acceptable medical sources.’ *Id.* at 655; 20 C.F.R. §§ 404.1513(d), 416.913(d) (2016). “While those providers' opinions are not entitled to the same deference [as acceptable medical sources], an ALJ may give less deference to ‘other sources’ only if the ALJ gives reasons germane to each witness for doing so.” *Revels*, 874 F.3d at 655.

1. The opinion of treating physician Fizzah Ali, MD

Plaintiff argues the ALJ erred by rejecting the opinion of Plaintiff's treating

1 physician, hospitalist Fizzah Ali, MD. ECF No. 12 at 9–10. On May 20, 2013, Dr.
2 Ali assessed Plaintiff and subsequently completed a physical functional evaluation
3 form produced by the Washington State Department of Social and Health Services.
4 AR 441–43, 693–95. Dr. Ali diagnosed Plaintiff with congestive heart failure, noted
5 he had moderate to marked interference with his ability to perform basic work
6 activities, and opined he was capable of performing only sedentary work. AR 442–
7 43, 694–95. The ALJ gave this opinion little weight, reasoning, “The conclusions
8 were reached near the time of the claimant’s cardiac exacerbation. Therefore, the
9 opinion does not reflect a durational level of impairment. In addition, in completing
10 this form, Dr. Ali did not provide objective medical findings in support of his
11 conclusions.” AR 37.

12 These are specific and legitimate reasons for the ALJ to give Dr. Ali’s
13 opinion less deference. An ALJ may reasonably assign little weight to a treating
14 physician’s opinion that is not representative of a claimant’s long-term functioning
15 due to subsequent improvement in his or her condition. *See Carmickle v. Comm’r,*
16 *Soc. Sec. Admin.*, 533 F.3d 1155, 1165 (9th Cir. 2008). Additionally, an ALJ “need
17 not accept the opinion of any physician, including a treating physician, if that
18 opinion is brief, conclusory, and inadequately supported by clinical findings.”
19 *Chaudhry v. Astrue*, 688 F.3d 661, 671 (9th Cir. 2012) (quoting *Bray v. Comm’r of*
20 *Soc. Sec. Admin.*, 554 F.3d 1219, 1228 (9th Cir. 2009)).

1 Additionally, substantial evidence supports the reasons the ALJ cited for
2 giving Dr. Ali's opinion less deference. The record contains enough relevant
3 evidence to persuade a reasonable person to view Dr. Ali's opinion the way the ALJ
4 did. The ALJ's decision on this issue was rational and Plaintiff's mere disagreement
5 with it does not mean the Court should disturb it. "Where evidence is susceptible to
6 more than one rational interpretation, it is the ALJ's conclusion that must be
7 upheld." *Luther v. Berryhill*, 891 F.3d 872, 875 (9th Cir. 2018) (quoting *Burch v.*
8 *Barnhart*, 400 F.3d 676, 679 (9th Cir. 2005)). Therefore, the ALJ applied the proper
9 legal standard and substantial evidence supports his decision.

10 **2. 'Other source' opinions predating the alleged disability onset date**

11 Plaintiff argues the ALJ erred by rejecting 'other source' opinions from
12 approximately one to two-and-a-half years before the alleged disability onset date.
13 ECF No. 12 at 10–11. Plaintiff points to the opinions of (1) vocational rehabilitation
14 counselor J.T. Brix, CDMS, from December 30, 2005, AR 962–64, (2) chiropractor
15 Mark T. Huck, DC, from January 12, 2006, AR 984, (3) occupational therapist Judy
16 Silva, OTR/L, from November 1, 2006, AR 1015–18, and (4) chiropractor Richard
17 L. Haynes DC, from July 11, 2006; July 14, 2006; December 15, 2006; and January
18 17, 2007, AR 1076–79, 1094–95.

19 "Medical opinions that predate the alleged onset of disability are of limited
20 relevance." *Carmickle*, 533 F.3d at 1165. "This is especially true in cases . . . where

1 disability is allegedly caused by a discrete event.” *Id.* But “an ALJ may not reject
2 medical evidence solely because it predates the alleged date of the onset of
3 disability.” *Tabitha J. v. Comm’r of Soc. Sec.*, No. 4:17-cv-05111-SMJ, slip op. at
4 11 (E.D. Wash. July 10, 2018) (ECF No. 21). “In some cases, the time elapsed
5 between the medical opinion and the onset may be sufficient to discount its
6 relevance.” *Id.* “However, where . . . the medical opinion evidence is from shortly
7 before the period of alleged disability, the ALJ must provide a basis for rejecting
8 the opinion.” *Id.*

9 The ALJ found the disputed evidence “substantially predates the alleged
10 disability onset date and, therefore, is largely irrelevant.” AR 37. The ALJ noted the
11 ‘other source’ opinions were made closer in time to Plaintiff’s 2005 and 2007 motor
12 vehicle collisions. AR 37–38; *see also* AR 33, 478, 961. After reviewing the
13 opinions, the ALJ gave them little or partial weight because they predate the alleged
14 disability onset date by approximately one to two-and-a-half years. AR 38. The
15 Court finds no error in how the ALJ weighed this evidence. The opinions
16 substantially predate the alleged disability onset date. The ALJ drew a connection
17 explaining why the timing of the opinions negatively impacts their relevance.
18 Ultimately, the ALJ considered the substance of the opinions and gave them at least
19 some weight. The ALJ provided reasons germane to each ‘other source’ opinion
20 that justifies giving this evidence less deference. Therefore, the ALJ applied the

proper legal standard and substantial evidence supports his decision.

3. Global Assessment of Functioning scores

Plaintiff argues the ALJ erred by rejecting the lowest two of Plaintiff's three Global Assessment of Functioning ("GAF") scores. ECF No. 12 at 11–12. "A GAF score is a rough estimate of an individual's psychological, social, and occupational functioning used to reflect the individual's need for treatment." *Keyser v. Comm'r Soc. Sec. Admin.*, 648 F.3d 721, 723 n.1 (9th Cir. 2011) (quoting *Vargas v. Lambert*, 159 F.3d 1161, 1164 n.2 (9th Cir. 1998)). A GAF score of 58 represents moderate symptoms or moderate difficulty in occupational or other functioning. *See Vasquez v. Astrue*, 572 F.3d 586, 595 (9th Cir. 2009); *Benton ex rel. Benton v. Barnhart*, 331 F.3d 1030, 1034 (9th Cir. 2003). GAF scores of 43 and 47 indicate serious symptoms or serious impairment in occupational or other functioning. *See Morgan v. Comm'r of Soc. Sec. Admin.*, 169 F.3d 595, 598 n.1 (9th Cir. 1999).

If an acceptable medical source assesses a GAF score, an ALJ should treat it as medical opinion evidence. *See Wellington v. Berryhill*, 878 F.3d 867, 871 n.1 (9th Cir. 2017). But if a medical provider, such as a nurse practitioner or therapist, who is not an acceptable medical source, assesses a GAF score, an ALJ should treat it as 'other source' opinion evidence.

Psychiatrist Peter M. Meis, MD, assessed Plaintiff a GAF score of 58 on September 27, 2013. AR. 533, 536. Mental health counselor Jennifer Higgins,

1 LMHC, assessed Plaintiff a GAF score of 43⁴ on December 15, 2014. AR 918. And
2 psychiatric nurse practitioner Benjamin R. Kadas, ARNP-BC, assessed Plaintiff a
3 GAF score of 47 on December 31, 2014; January 14, 2015; February 10, 2015; and
4 March 10, 2015. AR 770–72, 777–79, 785–87, 790–92, 919–21, 923–25, 927–32.
5 Of Plaintiff’s three GAF scores, one came from an acceptable medical source (Dr.
6 Meis) and two came from ‘other sources’ (mental health counselor Higgins and
7 nurse practitioner Kadas). The acceptable medical source assessed a GAF score
8 tending to disfavor Plaintiff whereas the ‘other sources’ assessed GAF scores
9 favoring Plaintiff.

10 Regardless of their source and favorability to Plaintiff, the ALJ gave all three
11 GAF scores little weight, reasoning, “GAF scores are highly subjective, with no
12 standardization, and they intertwine psychological symptoms, physical
13 impairments, socioeconomic factors, and other elements that are irrelevant to a
14 disability evaluation.” AR 39. The ALJ was aware that the GAF score Dr. Meis
15 assessed contradicted the GAF scores mental health counselor Higgins and
16 psychiatric nurse practitioner Kadas assessed. AR 39. Elsewhere, the ALJ gave
17 great weight to Dr. Meis’s opinion because it is “virtually the only psychological
18 evaluation in the medical record.” AR 37. Considering all, the ALJ did not err by

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20 ⁴ The ALJ apparently mistook this as a score of 41. AR 39. The record shows the
assessed score was 43, which is in the range of scores from 41 to 50. *See* AR 918
 (“Axis V Current GAF: (43) 41 – 50 Serious Symptoms Or Impairm”).

1 giving little weight to the lowest two of Plaintiff's three GAF scores. The ALJ
2 provided reasons germane to each 'other source' opinion that justifies giving this
3 evidence less deference. Therefore, the ALJ applied the proper legal standard and
4 substantial evidence supports his decision.

5 **B. The ALJ reasonably assessed Plaintiff's credibility.**

6 Plaintiff argues the ALJ erred in assessing the credibility of Plaintiff's
7 testimony about the severity of his symptoms. ECF No. 12 at 14–17. Where a
8 claimant presents objective medical evidence of an underlying impairment that
9 could reasonably be expected to produce the symptoms alleged, and there is no
10 evidence of malingering, an ALJ "must give specific, clear and convincing reasons
11 in order to reject the claimant's testimony about the severity of the symptoms."
12 *Diedrich v. Berryhill*, 874 F.3d 634, 641 (9th Cir. 2017) (quoting *Molina*, 674 F.3d
13 at 1112). A finding that the claimant's testimony is not credible must be sufficiently
14 specific to allow the Court to conclude the ALJ rejected it on permissible grounds
15 and did not discredit it arbitrarily. *See Brown-Hunter v. Colvin*, 806 F.3d 487, 493
16 (9th Cir. 2015) (quoting *Bunnell v. Sullivan*, 947 F.2d 341, 345–46 (9th Cir. 1991)).
17 "General findings are insufficient; rather, the ALJ must identify what testimony is
18 not credible and what evidence undermines the claimant's complaints." *Id.* (quoting
19 *Reddick v. Chater*, 157 F.3d 715, 722 (9th Cir. 1998)).

20 The ALJ found "the claimant's statements concerning the intensity,

1 persistence and limiting effects of [his] symptoms are not fully supported.” AR 33.

2 In assessing Plaintiff’s credibility, the ALJ made the following findings:

- 3 • “Objective medical findings do not support the level of impairment
4 described by the claimant.” AR 33.
- 5 • “The claimant’s own statements suggest a greater level of functioning
6 than he alleged.” AR 34.
- 7 • “[E]vidence concerning the claimant’s mental impairments show a greater
8 level of functioning.” AR 34.
- 9 • By arranging “‘under-the-counter’ work for shelter, . . . the claimant
10 exhibited physical and mental abilities that he did not use in looking for
11 work.” AR 35.
- 12 • “Observations of the claimant are inconsistent with the degree of
13 impairment alleged by him.” AR 35.
- 14 • “An element of failing to follow medical advice further undercuts the
15 claimant’s allegations about the severity of his impairments.” AR 35.
- 16 • Although “a failure to cease the use of tobacco is not definitive on the
17 issue of disability,” here, “the claimant’s continued smoking suggests that
18 his complaints were actually not as limiting as he recounted, which lessens
19 the value of his allegations concerning his impairments and their effects.”
20 AR 35.
- “In fact, exaggeration is suggested in the medical record.” AR 35.
- “[H]is activity level does not correspond well with the allegation of
disability.” AR 36.
- “The claimant has a very weak work history. . . . [T]he overall impression
is that he had no particular attachment to working, even during periods in
which he was not alleging disability.” AR 36.
- “Possible drug seeking behavior occurred in April 2015.” AR 36.

16 The ALJ explained each of these findings over the span of three single-spaced
17 pages. AR 33–36. After reviewing the entire record, it is apparent to the Court that
18 substantial evidence supports the ALJ’s findings because the record contains
19 enough relevant evidence to persuade a reasonable person to view Plaintiff’s
20 testimony the way the ALJ did. In making his observations, the ALJ identified what

1 parts of Plaintiff's testimony are not credible, AR 33, and what evidence
2 undermines Plaintiff's complaints. AR 33–36. The ALJ's findings are sufficiently
3 specific for the Court to conclude the ALJ rejected Plaintiff's testimony on
4 permissible grounds and did not discredit it arbitrarily.⁵ The Court is not persuaded

5
6 ⁵ The Court rejects Plaintiff's sweeping challenge to the permissibility of the
7 grounds the ALJ cited, *see* ECF No. 12 at 15–17, because those grounds are
8 adequately supported by case law and the ALJ properly applied them here, *see*
9 *Carmickle*, 533 F.3d at 1161 (“Contradiction with the medical record is a sufficient
10 basis for rejecting the claimant's subjective testimony.”); *Morgan*, 169 F.3d at 599
11 (an ALJ may reasonably rely on a doctor's report that a claimant's mental health
12 issues have improved with the use of medication, even when the report contradicts
13 the claimant's subjective complaints); *Ghanim v. Colvin*, 763 F.3d 1154, 1163 (9th
14 Cir. 2014) (“An ALJ may consider a range of factors in assessing credibility,
15 including ‘(1) ordinary techniques of credibility evaluation, such as the claimant's
16 reputation for lying, prior inconsistent statements concerning the symptoms, and
17 other testimony by the claimant that appears less than candid; (2) unexplained or
18 inadequately explained failure to seek treatment or to follow a prescribed course of
19 treatment; and (3) the claimant's daily activities.’” (quoting *Smolen v. Chater*, 80
20 F.3d 1273, 1284 (9th Cir. 1996))); *Thomas v. Barnhart*, 278 F.3d 947, 958–59 (9th
Cir. 2002) (“The ALJ may consider at least the following factors when weighing
the claimant's credibility: ‘[claimant's] reputation for truthfulness, inconsistencies
either in [claimant's] testimony or between [her] testimony and [her] conduct,
[claimant's] daily activities, [her] work record, and testimony from physicians and
third parties concerning the nature, severity, and effect of the symptoms of which
[claimant] complains.’” (alterations in original) (quoting *Light v. Soc. Sec. Admin.*,
119 F.3d 789, 792 (9th Cir. 1997))); *id.* at 959–60 (an ALJ may reasonably consider
a claimant's exaggeration of symptoms and self-limiting behaviors in assessing his
or her credibility); *id.* at 959 (an ALJ may reasonably consider a claimant's poor
work history in assessing whether he or she is unable to work due to impairments
or some other cause); *Edlund v. Massanari*, 253 F.3d 1152, 1157 (9th Cir. 2001)
(an ALJ may reasonably consider a claimant's drug-seeking behavior in assessing
his or her credibility); *Bray*, 554 F.3d at 1227 (an ALJ's consideration of smoking
is harmless if the ALJ provides an independent basis for discounting a claimant's
testimony).

1 by Plaintiff's argument that the ALJ improperly cherry-picked a few isolated
2 instances of improvement to discount Plaintiff's testimony. *See* ECF No. 12 at 15–
3 16. On the contrary, the ALJ properly executed his function of reviewing the entire
4 record, weighing all the evidence, assessing each witness's credibility, and
5 resolving discrepancies and ambiguities. In sum, the ALJ gave specific, clear and
6 convincing reasons for rejecting Plaintiff's testimony about the severity of his
7 symptoms. Therefore, the ALJ applied the proper legal standard and substantial
8 evidence supports his decision.

9 **C. The ALJ reasonably assessed the severity of Plaintiff's impairments.**

10 Plaintiff argues the ALJ erred in finding the following were not severe
11 impairments: chronic obstructive pulmonary disease with shortness of breath,
12 pleural effusion, bronchitis, cough, wheezing, and pneumonia; arthritis with hand
13 pain and stiffness due to inflammation; radiculopathy; post-traumatic stress
14 disorder; hypertension; splenic infarction; and renal insufficiency. ECF No. 12 at
15 12–13. At step two, a claimant has the burden of establishing that he or she suffers
16 from a severe, medically determinable impairment or combination of impairments.
17 *Bowen v. Yuckert*, 482 U.S. 137, 146 & n.5 (1987); 20 C.F.R. §§ 404.1520(a)(4)(ii),
18 416.920(a)(4)(ii).

19 An impairment is medically determinable if it “result[s] from anatomical,
20 physiological, or psychological abnormalities which can be shown by medically

1 acceptable clinical and laboratory diagnostic techniques.” 20 C.F.R. §§ 404.1508,
2 416.908 (2016); *see also* 20 C.F.R. §§ 404.1521, 416.921 (2017). A medically
3 determinable impairment “must be established by medical evidence consisting of
4 signs, symptoms, and laboratory findings, not only by [a claimant’s] statement of
5 symptoms.” §§ 404.1508, 416.908.

6 A medically determinable impairment is severe if it “significantly limits [the
7 claimant’s] physical or mental ability to do basic work activities.” §§ 404.1520(c),
8 416.920(c). Basic work activities are “the abilities and aptitudes necessary to do
9 most jobs,” including

- 10 (1) Physical functions such as walking, standing, sitting, lifting,
pushing, pulling, reaching, carrying, or handling;
- 11 (2) Capacities for seeing, hearing, and speaking;
- 12 (3) Understanding, carrying out, and remembering simple instructions;
- 13 (4) Use of judgment;
- (5) Responding appropriately to supervision, co-workers and usual
work situations; and
- (6) Dealing with changes in a routine work setting.

14 20 C.F.R. §§ 404.1521(b), 416.921(b) (2016).

15 Here, after finding Plaintiff had six severe, medically determinable
16 impairments, the ALJ found “[o]ther impairments are not severe.” AR 29. The ALJ
17 found Plaintiff’s chronic obstructive pulmonary disease is not a medically
18 determinable impairment based on the controlled medical evidence in the record.
19 AR 29–30. The ALJ found Plaintiff’s arthritis was not severe because the record
20 lacks evidence showing it restricts his ability to perform basic work activities. AR

1 30. The ALJ found Plaintiff's hypertension was not severe because the record shows
2 it improved to a benign and well-controlled status. AR 29. The ALJ acknowledged
3 Plaintiff's radiculopathy, post-traumatic stress disorder, and splenic infarction but
4 did not specifically mention his renal insufficiency. AR 28–29, 33. The ALJ
5 nonetheless found these impairments are not severe. *See* AR 29–30. The ALJ was
6 not satisfied that Plaintiff met his burden for these impairments. *See* AR 29–30.

7 Tellingly, Plaintiff does not argue the ALJ's findings are unsupported by
8 substantial evidence. *See* ECF No. 12 at 12–13. Instead, Plaintiff argues substantial
9 evidence supports his alternative interpretation of the record. *See id.* But again,
10 “[w]here evidence is susceptible to more than one rational interpretation, it is the
11 ALJ's conclusion that must be upheld.” *Luther*, 891 F.3d at 875. The record
12 contains enough relevant evidence to persuade a reasonable person to view
13 Plaintiff's impairments the way the ALJ did. The ALJ's decision on this issue was
14 rational and Plaintiff's mere disagreement with it does not mean the Court should
15 disturb it. Therefore, the ALJ applied the proper legal standard and substantial
16 evidence supports his decision.

17 **D. The ALJ reasonably determined Plaintiff's impairments do not meet or**
18 **equal the criteria of a listed impairment.**

19 Plaintiff argues the ALJ erred in finding Plaintiff's degenerative disc disease
20 does not meet or equal the criteria of listing 1.04A for spine disorders. ECF No. 12
at 13–14. At step three, a claimant has the burden of establishing that his or her

1 impairments meet or equal the criteria of an impairment listed in 20 C.F.R. part 404,
2 subpart P, appendix 1. *Molina*, 674 F.3d at 1110; §§ 404.1520(a)(4)(iii),
3 416.920(a)(4)(iii). The Listing of Impairments “describes for each of the major
4 body systems impairments that [the SSA] consider[s] to be severe enough to prevent
5 an individual from doing any gainful activity, regardless of his or her age,
6 education, or work experience.” 20 C.F.R. §§ 404.1525(a), 416.925(a) (2016).

7 Here, Plaintiff argues his degenerative disc disease meets or equals the
8 criteria of listing 1.04A. ECF No. 12 at 13. This listing applies to spine disorders
9 “resulting in compromise of a nerve root” along with “[e]vidence of nerve root
10 compression characterized by neuro-anatomic distribution of pain, limitation of
11 motion of the spine, motor loss (atrophy with associated muscle weakness or muscle
12 weakness) accompanied by sensory or reflex loss and . . . positive straight-leg
13 raising test (sitting and supine).” 20 C.F.R. pt. 404, subpt. P, app. 1, § 1.04A.

14 The ALJ found Plaintiff’s degenerative disc disease does not meet listing
15 1.04A because “[t]he evidence does not show sustained motor loss over any twelve-
16 month period.” AR 30. Plaintiff points to several parts of the record he believes
17 establish motor loss. *See* ECF No. 12 at 13 (citing AR 501, 891, 1103); ECF No. 15
18 at 7 (citing AR 891, 1106–07). But, as the ALJ found, the record simply does not
19 reveal atrophy or muscle weakness over a twelve-month period. At the hearing, the
20 ALJ said to Plaintiff’s counsel, “[i]f we’re going to find a meeting of 1.04, I’ve got

1 to have some evidence that for at least 12 consecutive months, we've got some
2 weakness . . . ; and unless you can point me to that, I — you know, I'm not seeing
3 it." AR 106. Plaintiff's counsel responded to the ALJ, "[w]ell, I just made the
4 argument, Your Honor, so, you know." AR 106. But in fact, Plaintiff's counsel had
5 not identified any evidence of atrophy or muscle weakness over a twelve-month
6 period. *See* AR 106. The ALJ was not satisfied that Plaintiff met his burden for
7 these impairments. *See* AR 30.

8 Once again, Plaintiff does not argue the ALJ's findings are unsupported by
9 substantial evidence. *See* ECF No. 12 at 13–14. Instead, Plaintiff argues substantial
10 evidence supports his alternative interpretation of the record. *See id.* But again,
11 "[w]here evidence is susceptible to more than one rational interpretation, it is the
12 ALJ's conclusion that must be upheld." *Luther*, 891 F.3d at 875. The record
13 contains enough relevant evidence to persuade a reasonable person to view
14 Plaintiff's degenerative disc disease the way the ALJ did. The ALJ's decision on
15 this issue was rational and Plaintiff's mere disagreement with it does not mean the
16 Court should disturb it. Therefore, the ALJ applied the proper legal standard and
17 substantial evidence supports his decision.

18 **E. The ALJ asked the vocational expert a complete hypothetical question**
19 **about a person with Plaintiff's functional limitations.**

20 Plaintiff claims the ALJ erred by asking the vocational expert a hypothetical
question that omitted some of Plaintiff's functional limitations. ECF No. 12 at 17–

1 19. At step five, the Commissioner has the burden to “identify specific jobs existing
2 in substantial numbers in the national economy that [a] claimant can perform
3 despite [his or her] identified limitations.” *Zavalin v. Colvin*, 778 F.3d 842, 845 (9th
4 Cir. 2015) (first alteration in original) (quoting *Johnson v. Shalala*, 60 F.3d 1428,
5 1432 (9th Cir. 1995)). “Hypothetical questions posed to a [vocational expert] must
6 ‘set out *all* the limitations and restrictions of the particular claimant’” *Bray*, 554
7 F.3d at 1228 (omission in original) (quoting *Russell v. Sullivan*, 930 F.2d 1443,
8 1445 (9th Cir. 1991)). “If an ALJ’s hypothetical does not reflect all of the claimant’s
9 limitations, then ‘the expert’s testimony has no evidentiary value to support a
10 finding that the claimant can perform jobs in the national economy.’” *Id.* (quoting
11 *DeLorme v. Sullivan*, 924 F.2d 841, 850 (9th Cir. 1991)).

12 Plaintiff contends the ALJ’s hypothetical question was incomplete because it
13 omitted the frequency or duration of the sit/stand option included in the residual
14 functional capacity. ECF No. 12 at 18. Plaintiff is incorrect. The ALJ’s hypothetical
15 question included the following functional limitations: “This individual is capable
16 of a full range of light work with the following exceptions: standing and walking is
17 limited to four hours total in the day” AR 94. The vocational expert testified
18 the standing and walking limitations alone would render the hypothetical person
19 unable to perform the past relevant work involved here. AR 95. However, the
20 vocational expert testified the hypothetical person should be able to perform the

1 duties required of several light occupations. AR 95. In response to the ALJ's follow-
2 up question, the vocational expert specified that each of those occupations would
3 have a sit/stand option "essentially at the will of the employee." AR 96.

4 Plaintiff's argument assumes the ALJ's step five analysis was incomplete
5 because it omitted evidence that the ALJ erroneously rejected in prior steps. *See*
6 ECF No. 12 at 17–19. But as discussed above, the ALJ did not err in prior steps.
7 Therefore, the ALJ asked the vocational expert a complete hypothetical question
8 about a person with Plaintiff's functional limitations.

9 **F. The ALJ properly considered Plaintiff's borderline age.**

10 Plaintiff claims the ALJ erred by failing to consider whether to apply a higher
11 age category when Plaintiff was just three months short of age 50 on the date of the
12 ALJ's decision. ECF No. 12 at 19. Plaintiff is factually mistaken. The ALJ found
13 that while Plaintiff was a "younger individual" on the alleged disability onset date,
14 he "subsequently changed age category to closely approaching advanced age." AR
15 39. The ALJ then found Plaintiff is not disabled under medical-vocational rule
16 202.14, AR 40, which applies to a person "[c]losely approaching advanced age," 20
17 C.F.R. pt. 404, subpt. P, app. 2, § 202.14. Therefore, the ALJ properly considered
18 Plaintiff's borderline age.

19 In sum, the Court finds the record contains substantial evidence from which
20 the ALJ properly concluded, when applying the correct legal standards, that


1 Plaintiff does not qualify for benefits.

2 Accordingly, **IT IS HEREBY ORDERED:**

- 3 1. Plaintiff's Motion for Summary Judgment, **ECF No. 12**, is **DENIED**.
- 4 2. The Commissioner's Motion for Summary Judgment, **ECF No. 14**, is
- 5 **GRANTED**.
- 6 3. The ALJ's decision is **AFFIRMED**.
- 7 4. The Clerk's Office is directed to **ENTER JUDGMENT** in the
- 8 Commissioner's favor.
- 9 5. All pending motions are **DENIED AS MOOT**.
- 10 6. All hearings and other deadlines are **STRICKEN**.
- 11 7. The Clerk's Office is directed to **CLOSE** this file.

12 **IT IS SO ORDERED.** The Clerk's Office is directed to enter this Order and
13 provide copies to all counsel.

14 **DATED** this 31st day of December 2018.

15 
16 SALVADOR MENDOZA, JR.
United States District Judge